

# Modern Slavery Statement

## Introduction

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 in respect of the financial year ending 31 August 2023 and applies to CFA Institute, its global subsidiaries and branches.

Modern Slavery is an umbrella term used to capture offences defined in the Modern Slavery Act 2015 covering servitude, forced or compulsory labor, slavery, exploitation and human trafficking. CFA Institute has policies, processes and controls in place to mitigate the risks of slavery and human trafficking in any part of our business or in any of our supply chains. We pledge to review and improve our practices and policies and ensure we play our part in fighting against modern slavery. CFA Institute has a zero-tolerance approach to all human rights abuses and does not tolerate it within its supply chain or business

## Supply chains

We seek to ensure that those in our supply chain have complied with our values; therefore, we ask our suppliers to certify compliance with the Act using our standard terms and conditions for the supply of goods and services. This includes a provision requiring compliance with all applicable laws, regulations and statutes, in force relating to modern slavery, but not limited to, the Modern Slavery Act 2015. When it is not possible to use our standard terms and conditions, we aim to use only reputable vendors capable of providing services whilst upholding our principles and core values.

## Our Commitment

CFA Institute is committed to and supports ethical business practices and policies related to the prevention of the exploitation and abuse associated with modern slavery and human trafficking. We will endeavour to ensure that slavery and human trafficking are not taking place within our directly employed workforce, or our supply chain.

## Open Reporting Policy

We operate an Open Reporting Policy, aimed principally at our employees but also available to our suppliers that encourages the reporting of any wrongdoing which extends to human rights violations such as modern slavery. All reports are fully investigated, and appropriate remedial actions taken. Metrics relating to open reporting activity are internally reviewed.

## Training

CFA Institute provides training to all employees on the values included in its Code of Conduct and receives acknowledgement of the Code from its suppliers.

As at the date of this statement, we can confirm that there have been no reported incidents of human trafficking or modern slavery within CFA Institute or its supply chain.

This statement has been approved by the Board of Governors of CFA Institute on 25 August 2023.

Stephen Hendry, Chief Financial Officer